SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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	ss of Reporting Person N KATHRYN		2. Issuer Name and Ticker or Trading Symbol <u>Alkermes plc.</u> [ALKS]		tionship of Reporting Persor all applicable) Director	10% Owner
(Last) (First) (Middle) I		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/03/2017	X E	Officer (give title below) VP/CAO/CCO Alks Inc	Other (specify below) c; Sec ALKS
(Street) WALTHAM (City)	MA (State)	02451 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing ((Form filed by One Reporti Form filed by More than C Person	ng Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

			•	,	•	,				
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Ordinary Shares	04/03/2017		M ⁽¹⁾		15,000	A	\$15.95	69,904	D	
Ordinary Shares	04/03/2017		S ⁽²⁾		10,937	D	\$ 57.4114 ⁽³⁾	58,967	D	
Ordinary Shares	04/03/2017		S ⁽²⁾		3,763	D	\$58.3544(4)	55,204	D	
Ordinary Shares	04/03/2017		S ⁽²⁾		300	D	\$59.125(5)	54,904	D	
Ordinary Shares								36,848	I	By 2015 GRAT ⁽⁶⁾
Ordinary Shares								57,152	I	By 2016 GRAT ⁽⁷⁾

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non Qualified Stock	¢15.05	04/03/2017		M (1)			15 000	(8)	06/01/2017	Ordinary	15 000	0\$	0	D	

(8)

06/01/2017

15 000

Explanation of Responses:

Option

(Right to Buv)

\$15.95

1. This option exercise was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

2. This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

04/03/2017

3. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$57.04 to \$58.03. Full information regarding the number of shares sold at each separate price can be furnished to the SEC staff upon request.

4. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$58.05 to \$59.01. Full information regarding the number of shares sold at each separate price can be furnished to the SEC staff upon request.

5. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$59.05 to \$59.18. Full information regarding the number of shares sold at each separate price can be furnished to the SEC staff upon request.

6. Shares held by the 2015 GRAT. The Reporting Person is a trustee and beneficiary of the 2015 GRAT and may be deemed to hold voting and dispositive power with regard to the reported shares held by the 2015 GRAT.

7. Shares held by the 2016 GRAT. The Reporting Person is a trustee and beneficiary of the 2016 GRAT and may be deemed to hold voting and dispositive power with regard to the reported shares held by the 2016 GRAT.

8. These options are fully vested in accordance with their terms.

/s/ Jennifer Baptiste, attorneyin-fact for Kathryn L. Biberstein

15,000

Shares

\$<mark>0</mark>

04/04/2017

0

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

M(1)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.