SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| 1. Name and Addr Hopkinson (| | g Person [*] | 2. Issuer Name and Ticker or Trading Symbol Alkermes plc. [ALKS] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner V Officer (give title Other (specify | | | | | | | |
|----------------------------------|--|-----------------------|--|--|--|--|--|--|--|--|--|
| (Last) (First) 852 WINTER ST. | | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 02/16/2019 | X Officer (give title Other (specify below) below) SVP, Med Dev/Med Affairs, CMO | | | | | | | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | | |
| WALTHAM | MA | 02451 | | X Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | | |
| (City) | (State) | (Zip) | | Person | | | | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code (Instr. | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|--------------|---|---|---------------|-------------------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150. 4) |
| Ordinary Shares | 02/16/2019 | | М | | 3,375 | A | \$ <mark>0</mark> | 7,078 | D | |
| Ordinary Shares | 02/16/2019 | | F | | 1,083 | D | \$32.54 | 5,995 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | | ansaction of I | | | 6. Date Exercise Expiration Date (Month/Day/Ye | e | 7. Title and Amount of Securities | | 8. Price of Derivative Security | 9. Number of derivative Securities | 10. Ownership Form: | 11. Nature of Indirect Beneficial |
|---------------------------------------|------------------------------------|--|---|------|----------------|--|-------|---|--------------------|---|--|---------------------------------------|--|--|---|
| (Instr. 3) | Price of Derivative Security | (noningay) (ca) | | 8) | | Derivative (Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | (Month/Day/Year) | | Securities Underlying Derivative Security (Instr. 3 and 4) | | (Instr. 5) | Beneficially Owned | Form: Direct (D) or Indirect (I) (Instr. 4) | Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Restricted Stock Unit Award | \$0 | 02/16/2019 | | М | | | 3,375 | 02/16/2019 ⁽¹⁾ | (1) | Ordinary Shares | 3,375 | \$0 | 10,125 | D | |

Explanation of Responses:

1. Shares subject to the restricted stock unit award vest in equal annual installments over a four year period, commencing on 2/16/19.

/s/ Jennifer Baptiste, attorney-02/19/2019 in-fact for Craig C. Hopkinson

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.