## SEC Form 4

П

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

| Estimated average burden |     |
|--------------------------|-----|
| hours per response:      | 0.5 |
|                          |     |

l

| (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Mon 06/08/2017         852 WINTER ST.       4. If Amendment, Date of Original Fill | X         Officer (give title<br>below)         Other (specify<br>below)           SVP/Chief Comm Off, Alks Inc  |
|---|--|
|   |  |
| (Street)<br>WALTHAM MA 02451<br>(City) (State) (Zip)  | Filed (Month/Day/Year)       6. Individual or Joint/Group Filing (Check Applicable Line)         X       Form filed by One Reporting Person         Form filed by More than One Reporting Person |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |                         |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |                                 | Securities<br>Beneficially         | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|-------------------------|---|--|---------------|---------------------------------|------------------------------------|---|---|
|                                 |  |   | Code                    | v | Amount   | (A) or<br>(D) | Price                           | Transaction(s)<br>(Instr. 3 and 4) |   | (Instr. 4)  |
| Ordinary Shares                 | 06/08/2017                                 |   | <b>M</b> <sup>(1)</sup> |   | 10,000   | A             | \$17.3                          | 54,013                             | D   |   |
| Ordinary Shares                 | 06/08/2017                                 |   | <b>S</b> <sup>(2)</sup> |   | 10,000   | D             | <b>\$60.3352</b> <sup>(3)</sup> | 44,013                             | D   |   |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   | 3   |  |   |                              |   |     |        |  |                    | 8  |  |   |  | ,  |  |
|---|---|--|---|------------------------------|---|-----|--------|--|--------------------|--|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of  |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A) | (D)    | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$17.3  | 06/08/2017                                 |   | M <sup>(1)</sup>             |   |     | 10,000 | (4)  | 03/07/2022         | Ordinary<br>Shares   | 10,000                                 | \$0   | 16,660   | D  |  |

Explanation of Responses:

1. This option exercise was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on 5/5/17.

2. This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on 5/5/17.

3. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$60.00 to \$60.64. Full information regarding the number of shares sold at each separate price can be furnished to the SEC staff upon request.

4. These options are fully vested in accordance with their terms.

/s/ Jennifer Baptiste, attorney-

in-fact for Mark Stejbach

06/08/2017

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.