SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | ROVAL |
|---------------------|-----------|
| OMB Number: | 3235-0287 |
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|-------------------------|-------------------------|--------------|---------|-----|
| | | hours per re | sponse: | 0.5 |

| 1. Name and Address of Reporting Ferson | | | 2. Issuer Name and Ticker or Trading Symbol Alkermes plc. [ALKS] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|---|----------------|----------------------|--|--|--|---|--|--|--|
| <u>Brown Iain I</u> | <u>Aichael</u> | | <u>rukennes pie.</u> [ALKS] | l . | Director | 10% Owner | | | |
| (Last) 852 WINTER S | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 03/01/2020 | X | Officer (give title below) SVP Finance & CAO | Other (specify below) , Alks Inc. | | | |
| (Street) WALTHAM | HAM MA 02451 | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) X | idual or Joint/Group Filing (Form filed by One Report Form filed by More than (| ting Person | | | |
| (City) | (State) | (Zip) | | | Person | | | | |
| | | Table I - Non-Deriva | tive Securities Acquired, Disposed of, or Benefi | cially (| Owned | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Disposed Of 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|------------------------------|---|------------------------------------|---------------|-------------------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Ordinary Shares | 03/01/2020 | | М | | 2,250 | A | \$ <mark>0</mark> | 39,243 | D | |
| Ordinary Shares | 03/01/2020 | | F | | 662 | D | \$20.84 | 38,581 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| L | | | | - | | | | - | | | | | | | |
|---|---|--|---|------------------------------|---|--|-------------------------|---|--------------------|---|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deri Sec Acq (A) Disp of (I | oosed D) tr. 3, 4 | 6. Date Exerci Expiration Dat (Month/Day/Ye | te | 7. Title an Amount o Securities Underlyin Derivative (Instr. 3 a | of g e Security | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Restricted Stock Unit Award | \$0 | 03/01/2020 | | М | | | 2,250 | 03/01/2017 ⁽¹⁾ | (1) | Ordinary Shares | 2,250 | \$0 | 0 | D | |

Explanation of Responses:

1. Shares subject to the restricted stock unit award vest in equal annual installments over a four year period, commencing on 3/1/2017.

/s/ Shantale Greenson, attorney-in-fact for Iain M.

Brown

03/03/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.