SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden 0.5 hours per response:

1. Name and Addre Robinson Ja	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol <u>Alkermes plc.</u> [ALKS]		tionship of Reporting Pers all applicable) Director	10% Owner	
(Last) (First) (Middle) 852 WINTER ST.		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/21/2019	- X	Officer (give title below) President & COO,A	Other (specify below) lkermes, Inc.	
(Street) WALTHAM	MA	02451	 4. If Amendment, Date of Original Filed (Month/Day/Year) 	6. Indiv Line) X	X Form filed by One Reporting Person Form filed by More than One Reporting		
(City)	(State)	(Zip) Table I - Non-Der	ivative Securities Acquired, Disposed of, or Benefi	cially (Person Dwned		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	if any	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Beneficially Owned Following	(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	 Reported Transaction(s) (Instr. 3 and 4) 		(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction D Code (Instr. S 8) A o o		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Unit Award	\$ <mark>0</mark>	02/21/2019		Α		40,400		02/21/2020 ⁽¹⁾	(1)	Ordinary Shares	40,400	\$0	40,400	D	
Employee Stock Option (Right to Buy)	\$32.57	02/21/2019		A		157,000		02/21/2020 ⁽²⁾	02/21/2029	Ordinary Shares	157,000	\$0	157,000	D	

Explanation of Responses:

1. Shares subject to the restricted stock unit award vest in equal annual installments over a four year period, commencing on 2/21/2020.

2. Shares subject to the stock option award vest in four equal annual installments commencing on 2/21/2020.

/s/ Jennifer Baptiste, attorneyin-fact for James A. Robinson,

02/25/2019

** Signature of Reporting Person

<u>Jr.</u>

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.